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**To: Examiner Terry A. McKelvey**  
**Company: USPTO Group Art Unit 1636**  
**Fax Number: 703-872-9306**  
**Phone Number: 571-272-0775**

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**Total Pages**  
**Including Cover: 5**

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**From: Kellie L. Carden**  
**Sender's Direct Line: (703) 744-7919**  
**Date: November 1, 2004**  
**Client Number: 020728.0101PTUS**

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**Comments:**WASHINGTON DC  
NORTHERN VIRGINIA  
DALLAS  
DENVER  
ANCHORAGE  
DOHA, QATAR**Confirmation Number: 8979**  
**U.S. Reissue Application No. 09/404,979**  
**Filed: September 22, 1999**  
**For: PEPTIDE-MEDIATED GENE TRANSFER**

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PTO/SB/97 (08-03)

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Applicant:	Gopal	Group Art Unit: 1636
Reissue Appl.:	09/404,979	
Filed:	September 22, 1999	Examiner: McKelvey, T
For:	PEPTIDE-MEDIATED GENE TRANSFER	

- (1) Fee Transmittal
- (2) Terminal Disclaimer to Obviate a Double Patenting Rejection Over a Prior Patent
- (3) Statement under 37 CFR 3.73(b)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 55.00

## Complete if Known

Application Number 09/409,979  
Filing Date September 22, 1999  
First Named Inventor T. Venkat Gopal  
Examiner Name McKelvey, T.  
Art Unit 1838  
Attorney Docket No. 020728.0101PTUS

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
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Deposit  
Account  
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The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	180	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
-20 **	0	0	0
Independent Claims	-3 **	0	0
Multiple Dependent		0	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	** Reissue Independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavowable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	840	2503	320	Plant issue fee	
1480	130	1480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.128(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.128(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Statutory disclaimer

\$55.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$55.00)

## SUBMITTED BY

## Complete if applicable

Name (Print/Type) Kellie L. Carden, Esq. Registration No. (Attorney/Agent) 52,596 Telephone (703) 744-8000  
Signature Kellie L. Carden Date November 1, 2004

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PTO/SB/96 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Genetic ApplicationsApplication No./Patent No.: 5,811,297Filed/Issue Date: March 7, 1996 / September 22, 1998Entitled: Immortalized hematopoietic cell lines, cell system thereof with stromal cells, in vitro, ex vivo and in vivo uses, & in vitro generation of dendritic cells and macrophagesGenetic Applications, a Limited Liability Company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: T. VENKAT GOPALTo: AMBA BIOSCIENCES, LLCThe document was recorded in the United States Patent and Trademark Office at Reel 007898, Frame 0319, or for which a copy thereof is attached.2. From: AMBA BIOSCIENCES, LLCTo: Genetic ApplicationsThe document was recorded in the United States Patent and Trademark Office at Reel 015035, Frame 0709, or for which a copy thereof is attached.

3. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.**[NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/David R. Staggs/November 1, 2004

Signature

Date

David R. Staggs858 578 2700

Printed or Typed Name

Telephone Number

Chief Executive Officer

Title

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